

# Efficient, Structured Controller Synthesis for Linear Parameter-Varying Systems

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**Abstract**—A structured output-feedback controller synthesis in linear fractional transformation (LFT) formulation is derived. It is presented as a novel approach to the control of linear parameter-varying systems. The LFT formulation leads to a controller synthesis with no approximation steps and it scales better than a gridded formulation when increasing the number of scheduling parameters. The proposed approach has better computational efficiency than a traditional output-feedback controller synthesis, as it solves two small semi-definite programs (SDP) in a two step synthesis, rather than one large SDP posed by the traditional approach. This two-step synthesis also results in the controller’s fixed structure. The design process uses a recently proposed weighting scheme to impose unambiguous, tractable and physically interpretable closed-loop performance requirements; suitable for use on many aerospace applications. An exemplary spacecraft control problem demonstrates the computational superiority of the novel approach over standard output-feedback synthesis when using different scheduling parameters. There is also minimal loss in performance in the structured controller compared to the output-feedback controller.

**Index Terms**—Robust control, aerospace control, linear matrix inequalities

## I. INTRODUCTION

**M**ANY aerospace problems are highly parameter-dependent. For example, an aircraft’s dynamics vary significantly over altitude and velocity [1], or a spacecraft’s dynamics can vary with the orientation of a movable solar array [2]. When designing a control scheme for such applications, linear parameter-varying (LPV) controller design (recent applications in, e.g., [3]–[5]) provides an elegant alternative to traditional gain scheduling; it explicitly respects the parameter dependence of the system in the synthesis. Moreover, it is a logical step following the popular  $\mathcal{H}_\infty$  framework as it inherits many of the same performance quantifications and thus design principles. The performance of a controlled LPV system is often specified in terms of the induced  $\mathcal{L}_2$ -norm of the closed-loop, so, well documented and validated design schemes are easily applicable (e.g. mixed-sensitivity). This means that creating and tuning a good controller design scheme in the LPV framework is intuitive and comprehensible. For a thorough summary of LPV techniques over the years, the reader is referred to [6].

There are several special classes of LPV systems that are categorised based on how the state matrices depend on the scheduling parameters. In case of an arbitrary dependence, the LPV controller synthesis problem results in an infinite collection of parameter-dependent linear matrix inequalities (LMIs) [7]. The computational solution of such parameter-dependent LMIs requires some finite-dimensional approximation on a gridded domain. This approach does not scale well with the number of scheduling parameters. To remedy this, the LPV system can be written in a linear fractional representation, provided that the dependence of the state-space matrices on the scheduling parameter can be closely approximated by a rational function. In this case, finite dimensional semidefinite programs (SDPs) can be formulated to synthesise a controller [8], [9]. While generally the linear fractional transformation (LFT) based framework scales more gracefully with the number of scheduling parameters, its computational complexity still prevents wide-spread industrial application in aerospace [10]. It is estimated that up to 38% of a spacecraft control design project’s time-budget is spent on the design and tuning phases [11], with the remaining costs going to simulator development, analysis, verification, validation and so on. It is well understood that, in practice, controller design is an iterative process, which involves re-tuning and re-synthesising a controller several times. Therefore, the efficiency of the design process is significantly impacted by the computational efficiency of the synthesis algorithms.

Proposed in this paper is a novel LPV synthesis approach based on the LFT framework that significantly reduces the computational burden of the synthesis. It does this by separating the synthesis SDP into two smaller SDPs, namely a filter synthesis and a state-feedback controller synthesis. Unlike prior work [12], the proposed approach offers guaranteed closed-loop performance bounds. Moreover, it uses a mixed-sensitivity weighting scheme [13] with a minimal amount of physically interpretable weights that are derived directly from the robustness and performance requirements of the controller. Finally, the resulting LPV controller has a fixed structure. This can be further exploited to include, for example, anti-windup compensation. The proposed method is an extension of the author’s previous work for gridded LPV systems [14] by application of the full block S-Procedure [15]. It also extends the results therein [14] by the inclusion of dynamic weights in the derivation, as these are typically required to formulate a practical LPV control problem. Related to the main result presented here is also the work of Prempain [16], [17] which proposes a structured control design using Glover and McFarlane’s loopshaping procedure [18]. This was

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extended by Pereira and de Oliveira [19] to discrete-time applications.

In summary, this paper contributes a novel LFT-LPV synthesis framework which can be used to efficiently synthesise an LPV controller that has a fixed structure. The derivation of the two-step synthesis approach is presented in Section III. Included within this section (in III-B and III-C), the two synthesis SDPs are derived. Finally, the approach is demonstrated in Section IV on the design of a spacecraft attitude controller in a slewing scenario.

## II. PRELIMINARIES

LPV systems are a type of system whose dynamics depend continuously on a measurable, time-varying parameter vector  $\rho : \mathbb{R} \rightarrow \mathcal{P}$ , where  $\mathcal{P} \in \mathbb{R}^n$  is a compact subset of allowable parameters. Additionally, the parameter variation rate  $\dot{\rho}$  is assumed to lie within a hyper-rectangle  $\dot{\mathcal{P}}$  defined by  $\dot{\mathcal{P}} = \{\dot{\rho}(t) \in \mathbb{R}^n \mid |\dot{\rho}_i(t)| \leq \nu_i, i = 1, \dots, n\}$ . Hence, the set of all admissible trajectories is  $\mathcal{T} = \{\rho : \mathbb{R} \rightarrow \mathcal{P} \mid \rho \in \mathcal{C}^1, \rho(t) \in \mathcal{P} \text{ and } \dot{\rho}(t) \in \dot{\mathcal{P}} \forall t \geq 0\}$ . The parameter  $\rho$  is referred to as the scheduling parameter of the dynamic system.

A state-space representation of an  $n_x^{\text{th}}$ -order LPV system  $P$  is defined as

$$P : \begin{bmatrix} \dot{x}(t) \\ y(t) \end{bmatrix} = \begin{bmatrix} A(\rho(t)) & B(\rho(t)) \\ C(\rho(t)) & D(\rho(t)) \end{bmatrix} \begin{bmatrix} x(t) \\ u(t) \end{bmatrix}, \quad (1)$$

where  $x(t) \in \mathbb{R}^{n_x}$  is the state vector,  $u(t) \in \mathbb{R}^{n_u}$  the input vector, and  $y(t) \in \mathbb{R}^{n_y}$  the output vector. *In this paper the dependence on  $t$  is later omitted to shorten the notation.* Given that the system dynamics are a continuous function of the scheduling parameter, it can be stated that  $A : \mathcal{P} \rightarrow \mathbb{R}^{n_x \times n_x}$ ,  $B : \mathcal{P} \rightarrow \mathbb{R}^{n_x \times n_u}$ ,  $C : \mathcal{P} \rightarrow \mathbb{R}^{n_y \times n_x}$ , and  $D : \mathcal{P} \rightarrow \mathbb{R}^{n_y \times n_u}$ .

Any rational matrix valued function can be formulated into an LFT, so, LPV systems that can be described (or reasonably approximated) by rational functions can be represented in the LFT framework. For example, the state matrix  $A$  is cast into an LFT with a partitioned constant matrix  $\bar{A}$  and parameter-dependent matrix  $\Delta \in \mathbb{R}^{m_1 \times n_1}$ , where

$$\bar{A} = \left[ \begin{array}{c|c} \bar{A}_{11} & \bar{A}_{12} \\ \hline \bar{A}_{21} & \bar{A}_{22} \end{array} \right] \in \mathbb{R}^{(n_1+n_2) \times (m_1+m_2)}. \quad (2)$$

The upper LFT  $F_u(\bar{A}, \Delta(\rho(t)))$  is defined as

$$F_u(\bar{A}, \Delta(\rho(t))) = \bar{A}_{22} + \bar{A}_{21} \Delta(\rho(t)) (I - \bar{A}_{11} \Delta(\rho(t)))^{-1} \bar{A}_{12}, \quad (3)$$

where  $\Delta(\rho(t))$  takes a diagonal form

$$\Delta(\rho(t)) = \begin{bmatrix} \rho_1(t) I_{s_1} & & & \\ & \ddots & & \\ & & \rho_{n\delta}(t) I_{s_{n\delta}} & \end{bmatrix}. \quad (4)$$

Moreover, the performance of an LPV system can be specified in terms of its induced  $\mathcal{L}_2$ -norm

$$\|P\| = \sup_{u \in \mathcal{L}_2 \setminus \{0\}, \rho \in \mathcal{T}, x(0)=0} \frac{\|y\|_2}{\|u\|_2}. \quad (5)$$

A generalisation of the Bounded Real Lemma (BRL) [7] provides a sufficient condition to upper bound  $\|P\|$ , as stated by the following theorem.

*Theorem 1.*  $P$  is exponentially stable and  $\|P\| \leq \gamma$  if there exists a continuously differentiable symmetric matrix function  $X(p) : \mathcal{P} \rightarrow \mathbb{R}^{n_x \times n_x}$  such that  $X(p) \geq 0$  and

$$\begin{bmatrix} X(p)A(p) + A^\top(p)X(p) + \partial X(p, q) & X(p)B(p) \\ B^\top(p)X(p) & -I \end{bmatrix} + \frac{1}{\gamma^2} \begin{bmatrix} C^\top(p) \\ D^\top(p) \end{bmatrix} \begin{bmatrix} C(p) & D(p) \end{bmatrix} \leq 0 \quad (6)$$

hold for all  $p \in \mathcal{P}$  and  $q \in \dot{\mathcal{P}}$ , where  $\partial X(p, q) = \sum_{i=1}^n \frac{\partial X}{\partial \rho_i}(p) q_i$ .

Theorem 1 forms the basis for the induced  $\mathcal{L}_2$ -norm controller synthesis (see the work of Wu [7]). Consider an open-loop LPV system  $P_{aug}$  that is augmented from an open-loop plant  $P$  (1) to have additional performance inputs  $w$  and outputs  $z$ . The objective is to synthesise an output-feedback controller  $K_{OF}$

$$K_{OF} : \begin{bmatrix} \dot{x}_K \\ u \end{bmatrix} = \begin{bmatrix} A_K(\rho) & B_K(\rho) \\ C_K(\rho) & D_K(\rho) \end{bmatrix} \begin{bmatrix} x_K \\ y \end{bmatrix}, \quad (7)$$

such that the induced  $\mathcal{L}_2$ -gain of the closed-loop interconnection of  $P_{aug}$  and  $K_{OF}$ , denoted by the lower fractional transformation  $F_l(P_{aug}, K_{OF})$ , is minimised  $\min_{K_{OF}} \|F_l(P_{aug}, K_{OF})\|$ . The purpose of the augmentation is such that when the induced  $\mathcal{L}_2$ -gain of the closed-loop interconnection is minimised, specified closed-loop behaviour is imposed on the resulting controller. This optimisation problem can be solved via parametrised LMI conditions. The derivation and proof of this is provided in the thesis of Wu [20]. For an LPV system, these LMI conditions are infinite dimensional due to the dependency on  $\rho$ . Therefore they can be solved by approximating the system along a grid. Alternatively, if the LPV system is formulated as an LFT, the full block S-Procedure (see next section) can be applied in such a way that the parameter-dependent constraints are satisfied. This leaves a set of LMI conditions that are not parameter-dependent, and thus the optimisation problem is solvable.

### A. Full Block S-Procedure

A general approach for transforming a linear parametric matrix inequality from a quadratic form  $X(p) = G^\top(p)X_0G(p) < 0$  to a linear form using block multipliers was first proposed by Scherer et al. [15]. The process is known as the full block S-Procedure and it can be applied in such a way that all parameter-dependent constraints are automatically satisfied. In order for this to work, first the parameter dependency must be rational and the quadratic inequality must be expressed with outer factors  $G(p)$  as LFTs such that

$$X(p) = G^\top(p)X_0G(p), \text{ and } G(p) = F_u(G_0, \Delta(p)), \quad (8)$$

where the matrix part of the upper LFT  $G_0$  can be partitioned as in (2) into  $G_{11}$ ,  $G_{12}$ ,  $G_{21}$  and  $G_{22}$ . The following theorem states the full block S-Procedure. *For clarity throughout this paper, off-diagonal blocks of block-diagonal matrices are omitted from notation.*

*Theorem 2.* (Full Block S-Procedure [21]). The quadratic matrix inequality,  $X(p) < 0$ , that satisfies (8) and  $X_0 \in \mathbb{S}^{n_2 \times n_2}$ , holds for all  $p \in \mathcal{P}$  if and only if there exists a symmetric full block multiplier  $\Pi \in \mathbb{S}^{(n_1+m_1) \times (n_1+m_1)}$  such that

$$\begin{bmatrix} G_{11} & G_{12} \\ I & 0 \\ G_{21} & G_{22} \end{bmatrix}^\top \left[ \begin{array}{c|c} \Pi & \\ \hline & X_0 \end{array} \right] \begin{bmatrix} G_{11} & G_{12} \\ I & 0 \\ G_{21} & G_{22} \end{bmatrix} < 0 \quad (9)$$

and

$$\begin{bmatrix} I \\ \Delta(p) \end{bmatrix}^\top \Pi \begin{bmatrix} I \\ \Delta(p) \end{bmatrix} \geq 0 \quad \forall \Delta \in \Delta_s, \quad (10)$$

where  $\Delta_s$  is a compact set.

*Proof.* The proof is provided in the work of Wu et al. [21].

Inequality (10) is infinite dimensional, thus the form of multiplier  $\Pi$  must be restricted in order for the problem to be computationally solvable. Three different multipliers are considered in this work, namely a diagonal multiplier, a full-block multiplier and a parameter-dependent multiplier. These three are ordered in decreasing conservatism introduced to the optimisation. This reduced conservatism does, however, result in increased computational burden.

#### Block-diagonal multiplier

Firstly, the block-diagonal multiplier restricts  $\Pi$  to a symmetric form, so the set of multipliers is defined as

$$\Pi_d := \left\{ \Pi = \begin{bmatrix} -\tilde{R} & \tilde{S} \\ \tilde{S}^\top & \tilde{R} \end{bmatrix} \right\}, \quad (11)$$

where  $\tilde{R} = \text{diag}(\tilde{R}_1, \dots, \tilde{R}_{n_\delta})$ ,  $\tilde{R} \leq 0$ ,  $\tilde{S} = \text{diag}(\tilde{S}_1, \dots, \tilde{S}_{n_\delta})$ ,  $\tilde{S}_i = -\tilde{S}_i^\top$  and both are of appropriate dimensions. Then condition (10) is automatically fulfilled. This works under the assumption that the parameter dependent block  $\Delta(p)$  takes the form in (4) with  $p \in \Pi := \{p \in \mathbb{R}^{n_\delta} \mid \|p_i\| \leq 1, i = 1, \dots, n_\delta\}$ , which can always be satisfied through normalisation.

Consider the expansion of (10) with the multiplier structure (11) for one parameter  $p_i$

$$\tilde{S}_i p_i + p_i^\top \tilde{S}_i^\top - \tilde{R}_i + p_i^\top \tilde{R}_i p_i \quad (12a)$$

$$= p_i \underbrace{(\tilde{S}_i + \tilde{S}_i^\top)}_{=0} + \underbrace{(p_i^2 - 1)}_{\leq 0} \underbrace{\tilde{R}_i}_{\leq 0} \geq 0. \quad (12b)$$

Given the block-diagonal structure of the multiplier, expanding the left hand side of (10) for all elements results in a block-diagonal matrix where the  $i$ th block corresponds to the expansion (12). Given that (12) holds  $\forall i$ , the block-diagonal matrix must also be positive semidefinite and (10) is satisfied.

#### Full-block multiplier

The block-diagonal multiplier is a subset of the full-block multiplier which assumes that the set  $\Delta_s$  is a convex hull of a finite set  $\bar{\Delta} = \{\Delta_1, \dots, \Delta_n\}$ . The set of multipliers is then

$$\Pi_f := \left\{ \Pi = \begin{bmatrix} \tilde{Q} & \tilde{S} \\ \tilde{S}^\top & \tilde{R} \end{bmatrix} \mid \tilde{R} \leq 0 \right\}. \quad (13)$$

Given that the south-east block of  $\Pi \in \Pi_f$  is negative semidefinite, the mapping

$$\Delta \leftrightarrow \begin{bmatrix} I \\ \Delta \end{bmatrix}^\top \Pi \begin{bmatrix} I \\ \Delta \end{bmatrix} \quad (14)$$

is concave. Hence positivity at  $\Delta \in \bar{\Delta}$  implies positivity for all  $\Delta \in \Delta_s$ . Thus, it is sufficient to evaluate (10) at the vertices of  $\bar{\Delta}$ , which implies that (10) holds  $\forall \Delta \in \Delta_s$ . This results in an additional  $n$  LMI conditions. For further proof the reader is referred to, e.g., [22].

#### Parameter-dependent multiplier

The most computationally expensive multiplier considered in this work is the parameter-dependent multiplier which is an affine function of the parameter vector  $p$ .

$$\Pi(p) = \begin{bmatrix} \tilde{S}_0^\top \Delta + \Delta^\top \tilde{S}_0 & -\tilde{S}_0^\top - \Delta^\top \tilde{S}_1^\top \\ -\tilde{S}_0 - \tilde{S}_1 \Delta & \tilde{S}_1 + \tilde{S}_1^\top \end{bmatrix}, \quad (15)$$

where  $S_0$  and  $S_1$  are of appropriate dimensions. By substituting this multiplier into (10), it can be seen that the constraint is equal to 0  $\forall p \in \mathcal{P}$ , so the constraint is automatically fulfilled. The complexity of using this multiplier arises in that (9) is now parameter-dependent. Since  $\Pi(p)$  is an affine function of  $p$  which is constrained to a polytope, checking (9) only at the vertices of  $\mathcal{P}$  implies that it holds  $\forall p \in \mathcal{P}$ . This results in  $2^{n_\delta}$  LMIs, one for each vertex of  $\mathcal{P}$ .

For all applications of the full block S-Procedure in this paper, multipliers are restricted to one of the three forms described in this section.

#### B. Coprime Factorisation

Consider an LPV system  $P$  as described in (1). A contractive left-coprime factorisation of the system  $P = M^{-1}N$  provides a kernel representation of all stable input-output pairs [23]–[25]. By definition,  $y = M^{-1}Nu$ , thus  $0 = [M, -N][y^\top, u^\top]^\top$ , so the set of all input-output pairs is characterised by the null space of  $[M, -N]$ . In order to be contractive, the factorisation must also satisfy  $\|[M, N]\| \leq 1$ .

A state-space representation of  $[M, N]$  for an LPV plant (1) is

$$\begin{bmatrix} \dot{\mu} \\ v \end{bmatrix} = \begin{bmatrix} A + LC & L & B + LD \\ R^{-\frac{1}{2}}C & R^{-\frac{1}{2}} & R^{-\frac{1}{2}}D \end{bmatrix} \begin{bmatrix} \mu \\ y \\ -u \end{bmatrix}, \quad (16)$$

(dependency on  $\rho$  is omitted from (16) for brevity) where  $L(\rho)$  is a filter gain matrix and  $R(\rho) := I + D(\rho)D^\top(\rho)$ .

The conditions of the contractive left-coprime factorisation can be derived by applying a generalisation of the BRL (Theorem 1) to the state-space realisation of the coprime factorisation (16). This was proven in the thesis of Wood [25].

### III. TWO-STEP CONTROLLER SYNTHESIS FOR LPV SYSTEMS

When finding an optimal output-feedback controller using induced  $\mathcal{L}_2$  synthesis, the SDP is made up of a generalised filtering algebraic Ricatti inequality and a generalised control algebraic Ricatti inequality [26]. In this section, the output-feedback synthesis problem is separated into two smaller SDPs; namely a filter gain ( $L(\rho)$ ) synthesis and a state-feedback gain ( $F(\rho)$ ) synthesis. Hence, the presented structured approach is more computationally efficient than an equivalent output-feedback controller synthesis. The system and resulting structured controller are formulated using the

LFT framework. Consider an LPV plant with the following state-space realisation

$$\begin{bmatrix} \dot{x} \\ y \end{bmatrix} = \begin{bmatrix} A(\rho) & B(\rho) \\ C(\rho) & 0 \end{bmatrix} \begin{bmatrix} x \\ u+d \end{bmatrix}, \quad (17)$$

which is equivalent to (1) except that  $D(\rho) = 0$  and the input has a summative disturbance  $d$ . For the sake of brevity in this paper, the plant is assumed to be strictly proper. This assumption is not restrictive as many practical problems can be accurately described with this representation by augmenting the system (e.g., with actuator dynamics, which removes the direct feed-through from  $u$  to  $y$ ). Alternatively, a loop-shifting transformation [27] can be applied  $y \leftarrow y^{(1)} + Du$  which results in a strictly proper plant and a controller with an additional feedback loop of  $-D$  to maintain the closed-loop properties. The following results can be reproduced for more general plants with  $D(\rho) \neq 0$ , at the expense of more complicated formulae.

The controller  $K$  calculates the command signal  $u$  from an error signal  $e = r - y$ , where  $r$  is some reference value for the output  $y$ . The controller is designed such that it meets specific requirements, by establishing a bound on the induced  $\mathcal{L}_2$ -norm of the closed-loop interconnections of an augmented plant  $P_{aug}$  and  $K$ , as described in Section II. These requirements can be, for example, disturbance attenuation levels, tracking capabilities, the frequency range of control activity and robustness.  $P_{aug}$  is designed by augmenting the in/outputs of  $P$  with dynamic weights. The weighting structure used in this paper is a mixed-sensitivity structure developed by Theis et al. [13] that has been applied to many use-cases in aerospace [2], [28]. Although the plant is LPV, the weighting structure in most cases can be linear time-invariant (LTI) since, in many controls problems, performance specifications remain consistent across the parameter space and therefore do not depend on the scheduling parameter. To maintain generality, an LPV weighting scheme is used for the derivation of the remaining theory. Equivalent formulations exist for LPV or LTI weights and the derivations in this paper apply in both cases. Defining the output sensitivity function  $S = (I + PK)^{-1}$ , the generalised closed-loop of the weighted problem is

$$F_l(P_{aug}, K) : \begin{bmatrix} z_1 \\ z_2 \end{bmatrix} = \begin{bmatrix} W_e V_e^{-1} & 0 \\ 0 & W_u V_u^{-1} \end{bmatrix} \underbrace{\begin{bmatrix} S & -SP \\ KS & -KSP \end{bmatrix}}_{4 \text{ blocks}} \begin{bmatrix} V_e & 0 \\ 0 & V_d \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \end{bmatrix}, \quad (18)$$

where  $W_e$  and  $W_u$  denote parameter-dependent dynamic weights, with state-space formulation as in (1), and  $V_e(\rho)$ ,  $V_u(\rho)$ , and  $V_d(\rho)$  are parameter-dependent scaling factors. This is described as the four-block mixed-sensitivity synthesis problem as it shapes four unique closed-loop transfer functions. Increasing the magnitude of  $W_e$  reduces the sensitivity function which leads to better tracking and disturbance rejection. In most applications  $W_e$  will have high magnitude at low frequency and a high frequency gain of  $-6$  dB to keep the peak of the sensitivity function below 2. Consequently,

this also imposes the shape of the complementary sensitivity function  $(I - S)$ , so the tracking bandwidth imposed by  $W_e$  also influences the frequency content of attenuated noise on the output. Increasing the magnitude of  $W_u$  can be used to limit the frequency of the commanded actuation according to the available bandwidth and to avoid excitation of flexible modes in a system. Typically,  $W_u$  has high magnitude in the frequency range outside of the desired control activity, and hence causes a roll-off in the controller. The static scalings are used as the main tuning parameters. Good initial values are based on the expected values of the signals in the system. These correspond to the maximum allowable errors ( $V_e(\rho)$ ), the maximum allowable commands ( $V_u(\rho)$ ), and the maximum expected disturbances ( $V_d(\rho)$ ). Theis et al. [13] present a comprehensive treatment of this parameterisation for an LTI weighting scheme.

The goal of the proposed synthesis framework is to separate the controller synthesis into two steps such that the mixed-sensitivity output-feedback problem is solved in two stages. A filter problem is solved first, followed by a state-feedback gain calculation. The proposed filter  $O$ , with gain  $L(\rho)$ , and controller gain  $F(\rho)$  are connected with the presented weighting scheme as in the block diagram shown in Figure 1.

To understand the two synthesis stages, first, the performance of the output-feedback problem is defined by the induced  $\mathcal{L}_2$ -norm of its generalised weighted closed-loop interconnections (18)

$$\|F_l(P_{aug}, K)\| = \left\| \begin{bmatrix} W_e V_e^{-1} & 0 \\ 0 & W_u V_u^{-1} \end{bmatrix} \begin{bmatrix} S & -SP \\ KS & -KSP \end{bmatrix} \begin{bmatrix} V_e & 0 \\ 0 & V_d \end{bmatrix} \right\|, \quad (19)$$

with the dependency on  $\rho$  omitted from the notation. Consider the plant has a contractive left-coprime factorisation  $P = M^{-1}N$ . An equivalent two-block problem with input weight  $M^{-1}$ , from the factorisation, can be defined. Substituting  $P$  for its coprime factorisation  $M^{-1}N$  and considering that by definition  $\|[M, N]\| \leq 1$ , it follows from the sub-multiplicativity of the  $\mathcal{L}_2$ -norm that the norm of the proposed synthesis problem (Figure 2) is bounding the norm of the original problem.

$$\left\| \begin{bmatrix} W_e V_e^{-1} & 0 \\ 0 & W_u V_u^{-1} \end{bmatrix} \begin{bmatrix} S \\ KS \end{bmatrix} M^{-1} [M \quad -N] \begin{bmatrix} V_e & 0 \\ 0 & V_d \end{bmatrix} \right\| \leq \underbrace{\left\| \begin{bmatrix} W_e V_e^{-1} & 0 \\ 0 & W_u V_u^{-1} \end{bmatrix} \begin{bmatrix} S \\ KS \end{bmatrix} M^{-1} [V_e] \right\|}_{\text{proposed } \|F_l(P_{aug}, K)\|}. \quad (20)$$

Hence, a controller that minimises the induced  $\mathcal{L}_2$ -norm on the right-hand side of (20), upper bounds the performance of the original problem (19) (Figure 1). The severity of the conservatism introduced to the solution depends on  $\|[M, N]\|$ . Magnitudes closer to one yield less conservatism.

By restricting the structure of  $K$  to a structured, filter-based controller  $K_S$ , the two-block mixed-sensitivity problem becomes a state-feedback problem with a filter. The state-space

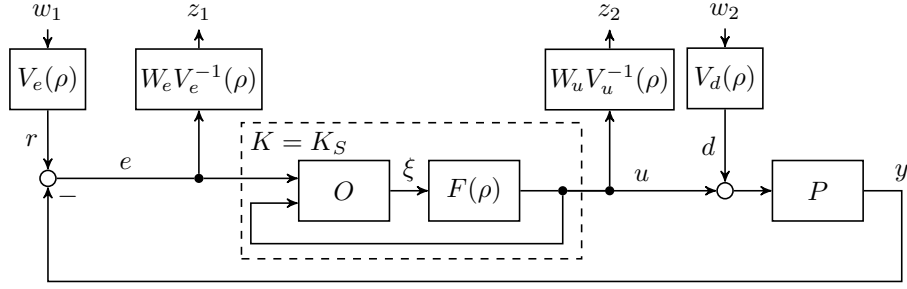


Fig. 1. Closed-loop interconnection of plant, controller and mixed-sensitivity weighting scheme.

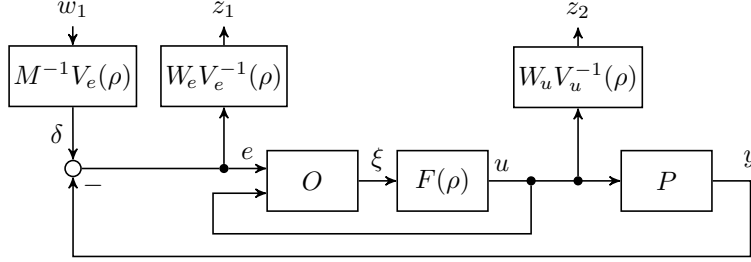


Fig. 2. Closed-loop two-block mixed-sensitivity problem.

formulation of the filter is

$$O : \begin{bmatrix} \dot{\xi} \\ \xi \end{bmatrix} = \begin{bmatrix} A(\rho) + L(\rho)C(\rho) & L(\rho) & B(\rho) \\ I & 0 & 0 \end{bmatrix} \begin{bmatrix} \xi \\ e \\ u \end{bmatrix}, \quad (21)$$

where  $L(\rho)$  is the parameter-varying filter gain and  $\xi$  is the estimated states. The control law is

$$u = F(\rho)\xi. \quad (22)$$

Therefore, the state-space formulation of the combined structured controller is

$$K_S : \begin{bmatrix} \dot{\xi} \\ u \end{bmatrix} = \begin{bmatrix} A + BF + LC & L \\ F & 0 \end{bmatrix} \begin{bmatrix} \xi \\ e \end{bmatrix}. \quad (23)$$

As it will be shown in this section, by intentionally choosing the filter gain  $L(\rho)$  to be equal to the solution of the contractive left-coprime factorisation, the filter-based control problem converges to the output-feedback problem. In other words, the filter is optimal, and therefore the conservatism is minimised. Thus, the synthesised controller for the two-block problem is an optimal controller in an induced  $\mathcal{L}_2$ -norm sense.

It will now be demonstrated that, for the derived two-block problem to be solved in (20), the filter and state-feedback controller are uni-directionally coupled. This means that the performance of the filter is independent from the controller gain, so it can easily be computed first. Following this is the derivation of the state-feedback problem.

The proposed filter (21) has a similar structure to a state observer, however it does not estimate the plant states but provides the estimated error states  $\xi$ , such that  $C(\rho)\xi$  is the estimated error. Consider the difference between the estimated

error and the true error as a performance metric of the filter, denoted  $\hat{e}$

$$\hat{e} = \underbrace{C(\rho)\xi}_{\text{estimated error}} - \underbrace{(y-r)}_{\text{true error}} = C(\rho)\xi + e \quad (24)$$

$\hat{e}$  shall be referred to as the estimation error, not to be confused with the *estimated* error. It will now be shown that the estimation error is independent from the state-feedback gains  $F(\rho)$ . Consequently, the filter and state-feedback gains are coupled in only one direction;  $F(\rho)$  depends on  $L(\rho)$  but  $L(\rho)$  does not depend on  $F(\rho)$ . This is an important demonstration of how the overall controller design problem can be separated into a filter and a state-feedback design. The closed-loop is derived by first combining (23) and (17)

$$\begin{bmatrix} \dot{x} \\ \dot{\xi} \\ y \end{bmatrix} = \begin{bmatrix} A & BF & 0 & B \\ -LC & A + LC + BF & L & 0 \\ C & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ \xi \\ r \\ d \end{bmatrix}. \quad (25)$$

Then, the estimation error  $\hat{e}$  is introduced as an output instead of  $y$ .

$$\begin{bmatrix} \dot{x} \\ \dot{\xi} \\ \hat{e} \end{bmatrix} = \begin{bmatrix} A & BF & 0 & B \\ -LC & A + LC + BF & L & 0 \\ -C & C & I & 0 \end{bmatrix} \begin{bmatrix} x \\ \xi \\ r \\ d \end{bmatrix}. \quad (26)$$

By defining a new state  $\epsilon = \xi - x$  and completing a coordinate transformation

$$\begin{bmatrix} \dot{\epsilon} \\ \dot{\xi} \\ \hat{e} \end{bmatrix} = \begin{bmatrix} A + LC & 0 & L & -B \\ LC & A + BF & L & 0 \\ C & 0 & I & 0 \end{bmatrix} \begin{bmatrix} \epsilon \\ \xi \\ r \\ d \end{bmatrix}, \quad (27)$$

$\xi$  is shown to be unobservable from the performance metric  $\hat{e}$  and can be removed as a state. The final state-space formulation is

$$\begin{bmatrix} \dot{\hat{e}} \\ \hat{e} \end{bmatrix} = \begin{bmatrix} A+LC & L & B \\ C & I & 0 \end{bmatrix} \begin{bmatrix} \epsilon \\ r \\ -d \end{bmatrix}. \quad (28)$$

From (28),  $F(\rho)$  has no influence on  $\hat{e}$ , the filter can be synthesised without any knowledge of the controller as its performance is independent from the state-feedback gain. It is also now clear that (28) represents the performance of the filter (output  $\hat{e}$ ) with respect to the disturbance dynamics of the plant, i.e., response to input  $d$ . Notice that (28) has the same formulation as the state-space realisation of the coprime factorisation (16) for the disturbance dynamics of the plant (17). Therefore, the optimal filter gain is chosen as  $L(\rho)$  when solving the contractive left-coprime factorisation of the *disturbance plant*, scaled with respect to the mixed-sensitivity weighting structure. Thus, for the weighting scheme presented in this paper, the  $V_e(\rho)$  and  $V_d(\rho)$  scalings must be considered.

Having established the uni-directional coupling between  $L(\rho)$  and  $F(\rho)$ , now it remains to fully derive the two-block state-feedback problem (Figure 2) with respect to the original output-feedback problem and mixed-sensitivity weighting structure (Figure 1). The equivalency of (16) and (28) means that the estimation error can also be defined as  $\hat{e} = Mr - Nd$ . Hence a new input signal to the two-block problem is defined  $\delta = r - M^{-1}Nd$ . This is equivalent to  $\delta = r - Pd$ . Thus, it characterises the reference signal and disturbance dynamics of the plant in the mixed-sensitivity design. By taking the inverse of  $M$  from the coprime factorisation (16), the input weight for the two-block problem is defined as

$$M^{-1} : \begin{bmatrix} \dot{\alpha} \\ \delta \end{bmatrix} = \begin{bmatrix} A(\rho) & L(\rho) \\ -C(\rho) & I \end{bmatrix} \begin{bmatrix} \alpha \\ \hat{e} \end{bmatrix}. \quad (29)$$

It shall be emphasised that the same gain  $L(\rho)$  appears in  $M^{-1}$  and the filter  $O$ .

The state-space formulation of the interconnection of  $M^{-1}$ ,  $O$ , and  $P$ , given that  $\hat{e} = C(\rho)\xi + e$ , is

$$\begin{bmatrix} \dot{x} \\ \dot{\xi} \\ \dot{\alpha} \\ e \\ u \\ \xi \end{bmatrix} = \begin{bmatrix} A(\rho) & 0 & 0 & 0 & B(\rho) \\ 0 & A(\rho) & 0 & L(\rho) & B(\rho) \\ 0 & 0 & A(\rho) & L(\rho) & 0 \\ 0 & -C(\rho) & 0 & I & 0 \\ 0 & 0 & 0 & 0 & I \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} x \\ \xi \\ \alpha \\ \hat{e} \\ u \end{bmatrix}, \quad (30)$$

where  $\xi$  are estimated error states that feed into the controller  $F(\rho)$ . The control error  $e$  and control command  $u$  are the performance outputs for the mixed-sensitivity synthesis. Notice that neither  $x$  nor  $\alpha$  are observed in the outputs, so both states can be eliminated to form an equivalent, minimal realisation

$$\begin{bmatrix} \dot{\xi} \\ e \\ u \\ \xi \end{bmatrix} = \begin{bmatrix} A(\rho) & L(\rho) & B(\rho) \\ -C(\rho) & I & 0 \\ 0 & 0 & I \\ I & 0 & 0 \end{bmatrix} \begin{bmatrix} \xi \\ \hat{e} \\ u \end{bmatrix}. \quad (31)$$

Equation (31) now forms the open-loop plant  $P$  in the induced  $\mathcal{L}_2$ -norm state-feedback problem to be solved.

Next, the plant is augmented to form  $P_{aug}$  such that  $K$  is synthesised based on the performance requirements imposed by the weighting structure. The mixed-sensitivity static and dynamic weights are added to the open-loop system (as per Figure 2). The dynamic weights are denoted as state-space systems

$$W_1 = W_e V_e^{-1}(\rho), \quad W_2 = W_u V_u^{-1}(\rho) \quad (32a)$$

$$W_1 : \begin{bmatrix} \dot{\xi}_1 \\ z_1 \end{bmatrix} = \begin{bmatrix} A_1(\rho) & B_1(\rho) \\ C_1(\rho) & D_1(\rho) \end{bmatrix} \begin{bmatrix} \xi_1 \\ e \end{bmatrix}, \quad (32b)$$

$$W_2 : \begin{bmatrix} \dot{\xi}_2 \\ z_2 \end{bmatrix} = \begin{bmatrix} A_2(\rho) & B_2(\rho) \\ C_2(\rho) & D_2(\rho) \end{bmatrix} \begin{bmatrix} \xi_2 \\ u \end{bmatrix}, \quad (32c)$$

so additional states  $\xi_1$  and  $\xi_2$  are introduced to the system. Given the (already known) relationship  $\hat{e} = C(\rho)\xi + e$ , the output weights (32) are connected to the open-loop state-space system (31), resulting in the following state-space realisation (with dependency on  $\rho$  omitted from this point in the derivation)

$$\begin{bmatrix} \dot{\xi} \\ \dot{\xi}_1 \\ \dot{\xi}_2 \\ z_1 \\ z_2 \\ \xi \end{bmatrix} = \begin{bmatrix} A & 0 & 0 & L & B \\ -B_1C & A_1 & 0 & B_1 & 0 \\ 0 & 0 & A_2 & 0 & B_2 \\ -D_1C & C_1 & 0 & D_1 & 0 \\ 0 & 0 & C_2 & 0 & D_2 \\ I & 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \xi \\ \xi_1 \\ \xi_2 \\ \hat{e} \\ u \end{bmatrix}. \quad (33)$$

Then, from (29), the scaled input  $w_1$  is defined as  $w_1 = V_e(\rho)\hat{e}$ . Additionally, the control signal  $u$  is scaled to  $u_{sc} = D_2(\rho)u$  (i.e. with the same factor as  $z_2$ ) such that the overall synthesis results in a scaled controller. Finally, adding the dynamic weight states  $\xi_1$  and  $\xi_2$  to the controller inputs maintains the state-feedback structure. The resulting mixed-sensitivity weighted plant for the state-feedback controller synthesis is therefore

$$\begin{bmatrix} \dot{\xi} \\ \dot{\xi}_1 \\ \dot{\xi}_2 \\ z_1 \\ z_2 \\ \xi \\ \xi_1 \\ \xi_2 \end{bmatrix} = \begin{bmatrix} A & 0 & 0 & LV_e & BD_2^{-1} \\ -B_1C & A_1 & 0 & B_1V_e & 0 \\ 0 & 0 & A_2 & 0 & B_2D_2^{-1} \\ -D_1C & C_1 & 0 & D_1V_e & 0 \\ 0 & 0 & C_2 & 0 & I \\ I & 0 & 0 & 0 & 0 \\ 0 & I & 0 & 0 & 0 \\ 0 & 0 & I & 0 & 0 \end{bmatrix} \begin{bmatrix} \xi \\ \xi_1 \\ \xi_2 \\ w_1 \\ u_{sc} \end{bmatrix}. \quad (34)$$

By minimising the induced  $\mathcal{L}_2$ -norm of the closed-loop interconnection of this weighted plant and the controller, the optimal controller gain is determined (the synthesis is detailed in a later section). Note that the output from the synthesised controller is a scaled signal  $u_{sc}$ . Therefore, the scaling of the synthesised gain  $F_{sc}(\rho)$  must be reversed before implementing in the closed-loop system;  $F(\rho) = D_2^{-1}(\rho)F_{sc}(\rho)$ .

The following proposition is made to concisely summarise the findings of Theis and Pfifer [29] which were reiterated in this section. This is later developed into a novel LFT-based controller synthesis.

*Proposition 1.* The mixed-sensitivity problem (Figure 1) can be solved more efficiently by separating the filter and state-feedback synthesis. The optimal filter gain is calculated from

the solution of the contractive left-coprime factorisation of the plant's disturbance dynamics. This transforms the mixed-sensitivity problem into a two block state-feedback problem. This filter gain guarantees that the  $\mathcal{L}_2$  performance of the original output-feedback problem is upper bounded by the  $\mathcal{L}_2$  performance of the two-block state-feedback problem. The resulting structured controller takes the form in (23).

### A. Resulting Structured Controller

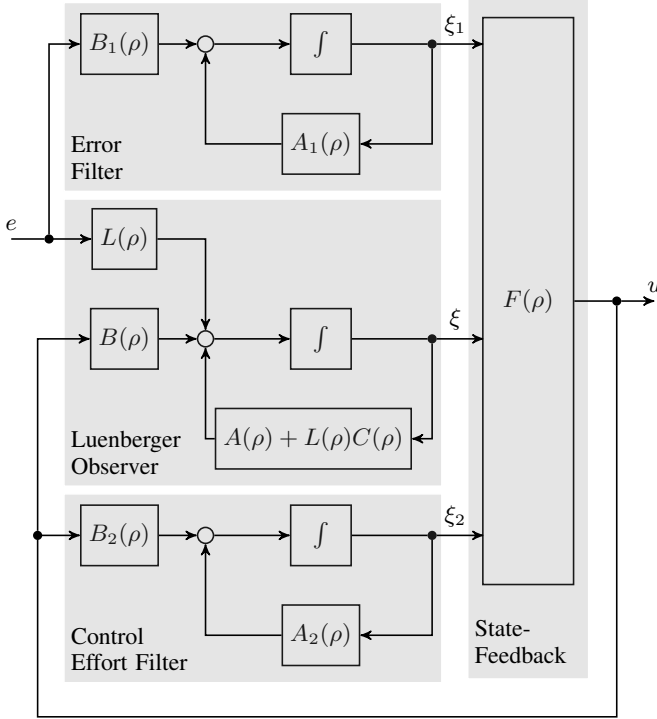


Fig. 3. Final controller structure of  $K_S$ .

This section demonstrates that the proposed controller design results in a highly structured controller  $K_S$ . From (34), the additional states  $\xi_1$  and  $\xi_2$  from the weighting scheme are included in the controller formulation (23)

$$\begin{bmatrix} \dot{\xi} \\ \dot{\xi}_1 \\ \dot{\xi}_2 \\ u \end{bmatrix} = \begin{bmatrix} A_{K_S} & L \\ F_{11} & F_{12} & F_{13} & 0 \end{bmatrix} \begin{bmatrix} \xi \\ \xi_1 \\ \xi_2 \\ e \end{bmatrix},$$

where

$$A_{K_S} = \begin{bmatrix} A + LC + BF_{11} & BF_{12} & BF_{13} \\ 0 & A_1 & 0 \\ B_2F_{11} & B_2F_{12} & A_2 + B_2F_{13} \end{bmatrix}. \quad (35)$$

By separating the three sets of states  $\xi$ ,  $\xi_1$ ,  $\xi_2$ , the control

structure is split into three existing, well-known parts

$$\begin{aligned} \text{Luenberger Observer:} \quad \dot{\xi} &= A\xi + L \underbrace{V_e w_1}_{C\xi+e} + B \underbrace{D_2^{-1} w_2}_u \\ \text{Error Filter:} \quad \dot{\xi}_1 &= A_1 \xi_1 - B_1 \underbrace{C\xi}_{\hat{e}-e} + B_1 \underbrace{V_e w_1}_{\hat{e}} \\ \text{Control Effort Filter:} \quad \dot{\xi}_2 &= A_2 \xi_2 + B_2 \underbrace{D_2^{-1} w_2}_u \end{aligned} \quad (36)$$

The resulting structure, as depicted in Figure 3, ensures the controller is straightforward to implement. It is common that  $A_1 = I$ , thus the *Error Filter* will provide integral action. With the given structure, the integrators in this case are separated which allows for easier implementation of anti-windup schemes. Another benefit of the presented structured design is that the design weights do not have to be strictly stable, as they would in traditional output-feedback control [30], [31]. In the presented state-feedback problem, the states include those from the design weights  $\xi_1$  and  $\xi_2$ , thus the weights can be stabilised in the closed-loop.

### B. Filter Synthesis

As described, the filter gain  $L(\rho)$  is calculated from the contractive left-coprime factorisation of the scaled disturbance dynamics of the plant. The plant is first scaled by  $P_{sc} = V_e^{-1}(\rho)P V_d(\rho)$ , where  $P$  is given in (17) and  $V_e(\rho)$  and  $V_d(\rho)$  are based on the maximum allowable errors and maximum allowable disturbance, respectively. In order to make the coprime factorisation computationally tractable for the LPV plant in LFT form, the LMIs are transformed to eliminate the dependence on  $\rho$  from the computation by using the full block S-Procedure (Theorem 2). First, the left-coprime factorisation of  $P_{sc}$  is calculated, as described in Section II-B. The conditions are summarised in the following theorem.

**Theorem 3.** Given the scaled LPV plant  $P_{sc}$ , there exists a contractive left-coprime factorisation  $P_{sc} = M_{sc}^{-1}N_{sc}$  if there exists a continuously differentiable, symmetric matrix function  $Z(p) : \mathcal{P} \rightarrow \mathbb{R}^{n_x \times n_x}$  such that  $\forall (p, q) \in \mathcal{P} \times \dot{\mathcal{P}}$

$$Z > 0 \quad (37a)$$

$$\begin{bmatrix} \partial Z + Z A_{sc} + A_{sc}^\top Z - C_{sc}^\top C_{sc} & Z B_{sc} \\ B_{sc}^\top Z & -I \end{bmatrix} < 0 \quad (37b)$$

*Proof.* The proof is based on applying a generalisation of the BRL (Theorem 1) to the state-space realisation of the coprime factorisation (28) and is provided in the thesis of Wood [25].

The resulting filter gain is calculated from the storage function and plant matrices as  $L_{sc}(p) = -Z^{-1}(p)C_{sc}^\top(p)$ . To reverse the scaling for implementation in the closed-loop,  $L(p) = L_{sc}(p)V_e^{-1}(p)$ . By finding the filter gain that minimises  $\text{trace}(Z^{-1}(p))$ , the solution to the structured control problem converges to the solution of the equivalent state-feedback problem (assuming the states are measurable). Thus,  $L(p)$  converges to the optimal filter gain and the conservatism introduced by the filter is minimised. For a full explanation, refer to the work of Theis and Pfifer [14]. Considering that  $Z(p)$  is a continuous function of  $p$  in a compact set, then

$Z(0) > 0$  and (37b) imply (37a). Therefore (37a) can be replaced by  $Z(0) > 0$ . The second LMI (37b) requires further reformulation steps before applying the full block S-Procedure. First, it must be transformed into a quadratic expression with LFTs as outer factors. The parameter dependency of (37b) is factorised in two steps. The initial factorisation separates the parameter-dependent matrices from all  $Z(p)$  terms

$$[*]^\top \begin{bmatrix} 0 & Z \\ Z & \partial Z \\ & -I & 0 \\ & 0 & -I \end{bmatrix} \begin{bmatrix} A_{sc} & B_{sc} \\ I & 0 \\ -C_{sc} & 0 \\ 0 & -I \end{bmatrix} < 0. \quad (38)$$

To reduce notation, throughout this paper  $*$  represents symmetry that maintains a quadratic structure. Also, zero, off-diagonal blocks are generally omitted. Then the structure of  $Z(p)$  is itself restricted to a quadratic form  $Z(p) = G^\top(p)Z_0G(p)$ . Consequently,  $\partial Z(p, q) = \partial G^\top(p, q)Z_0G(p) + G^\top(p)Z_0\partial G(p, q)$ . The matrix  $G(p)$  describes the parameter dependency of the system and must be a rational function that satisfies the quadratic structure of  $Z(p)$ . A common choice is to use an even-ordered polynomial, such that

$$Z(p) = [*]^\top \underbrace{\begin{bmatrix} Z_{0,11} & Z_{0,12} & \dots & Z_{0,1n} \\ Z_{0,21} & \ddots & & \vdots \\ \vdots & & \ddots & \vdots \\ Z_{0,n1} & \dots & \dots & Z_{0,nm} \end{bmatrix}}_{Z_0} \underbrace{\begin{bmatrix} I \\ pI \\ \vdots \\ p^{n-1} \end{bmatrix}}_{G(p)} \\ = \sum_{n=1}^k \left( p^{n-1} \sum_{m=1}^k Z_{0,nm} p^{m-1} \right), \quad (39)$$

where  $2(k-1)$  is the order and  $k$  is an integer. Note also that  $Z_{0,nm} = Z_{0,mn}^\top$  due to symmetry. For example, a second-order parameter dependency would result in  $Z(p) = Z_{0,11} + p(Z_{0,12} + Z_{0,12}^\top) + p^2 Z_{0,22}$ .

Now that the structure of  $Z(p)$  is restricted, the second step of the factorisation is to separate the remaining parameter-dependent terms into the outer factors. In this way, all dependency on  $p$  is removed from the central term.

Then (38) is equivalent to

$$[*]^\top \underbrace{\begin{bmatrix} 0 & Z_0 \\ Z_0 & 0 \\ & -I & 0 \\ & 0 & -I \end{bmatrix}}_{Z_{0,mat}} \underbrace{\begin{bmatrix} GA_{sc} + \partial G & GB_{sc} \\ G & 0 \\ C_{sc} & 0 \\ 0 & I \end{bmatrix}}_{Q_z(p,q)} < 0. \quad (40)$$

The infinite dimensional LMI (37b) is now an inequality with the quadratic form  $Q_z^\top(p, q)Z_{0,mat}Q_z(p, q) < 0$ . The outer factor  $Q_z(p, q)$  can be cast into an upper LFT with partitioned matrix  $Q_{z,0}$ , as described in (3). This can be done with readily available tools, such as `lftdata` in the Robust Control Toolbox in MATLAB. Then, the full block S-Procedure is applied with a multiplier  $\Pi_{Q_z}$  which is restricted to a structure specified in Section II-A to handle the parameter dependency from the conditions of Theorem

3. As a result, it is proven that the quadratic matrix inequality  $Q_z^\top(p, q)Z_{0,mat}Q_z(p, q) < 0$  with  $Z_0 \in \mathbb{S}^{n_2 \times n_2}$ , holds for all  $p, q \in \mathcal{P} \times \mathcal{P}$  if and only if there exists a full block multiplier  $\Pi_{Q_z} \in \mathbb{S}^{(n_1+m_1) \times (n_1+m_1)}$  as defined in Section II-A such that

$$Z_0 > 0 \quad (41a)$$

$$G(0)^\top Z_0 G(0) > 0 \quad (41b)$$

$$[*]^\top \left[ \frac{\Pi_{Q_z}}{Z_{0,mat}} \right] \begin{bmatrix} Q_{z,11} & Q_{z,12} \\ I & 0 \\ Q_{z,21} & Q_{z,22} \end{bmatrix} < 0. \quad (41c)$$

The optimal filter gain is achieved by minimising  $\text{trace}(Z^{-1}(p))$  under the conditions of Theorem 3, now transformed into equations (41). In order to minimise the trace of an inverted variable, an additional slack variable  $U(p) \in \mathbb{R}^{n_x \times n_x}$  must be introduced such that  $\text{trace}(U(p)) > \text{trace}(Z^{-1}(p))$ . This is achieved with the added constraint

$$\begin{bmatrix} U(p) & I \\ I & Z(p) \end{bmatrix} > 0 \quad \forall p \in \mathcal{P}. \quad (42)$$

A slightly reduced condition can be formulated with a static  $U$ , however this may result in additional conservatism on the controller's performance. Equation (42) is then factorised and transformed with the full block S-Procedure. First  $U(p)$  is restricted to  $G^\top(p)U_0G(p)$ , so, the factorisation of (42) is

$$[*]^\top \underbrace{\begin{bmatrix} U_0 & & & \\ & 0 & I & \\ & I & 0 & \\ & & & Z_0 \end{bmatrix}}_{U_{mat}} \underbrace{\begin{bmatrix} G & 0 \\ 0 & I \\ I & 0 \\ 0 & G \end{bmatrix}}_{Q_w(p)} > 0. \quad (43)$$

Then, as with  $Q_z(p, q)$  from (40) to (41), the outer factor  $Q_w(p)$  is cast into an upper LFT with partitioned matrix  $Q_{w,0}$ . Then the full block S-Procedure is applied with a full block multiplier  $\Pi_{Q_w}$ , which is also restricted to a structure in Section II-A. Thus, condition (42) is equivalent to

$$[*]^\top \left[ \frac{\Pi_{Q_w}}{U_{mat}} \right] \begin{bmatrix} Q_{w,11} & Q_{w,12} \\ I & 0 \\ Q_{w,21} & Q_{w,22} \end{bmatrix} > 0. \quad (44)$$

Combining the derivations so far, there is a fully solvable existence condition for the coprime factorisation of the disturbance dynamics of an LFT-based LPV plant (17) scaled with respect to the weighting structure in Figure 1. These conditions are given in Corollary 1.

*Corollary 1.* Let  $\mathcal{P}$  be a given compact set and  $P_{sc}$  a scaled LPV plant (17). There exists a contractive left-coprime factorisation  $P_{sc} = M_{sc}^{-1}N_{sc}$  if there exist continuously differentiable, symmetric matrix functions  $Z : \mathcal{P} \rightarrow \mathbb{R}^{n_x \times n_x}$  and  $U : \mathcal{P} \rightarrow \mathbb{R}^{n_x \times n_x}$  where  $Z(p)$  and  $U(p)$  are restricted to quadratic



if there exists a continuously differentiable, symmetric matrix function  $Y : \mathcal{P} \rightarrow \mathbb{R}^{n_x \times n_x}$  and a full block multiplier  $\Pi_{Q_y} \in \mathbb{S}^{(n_1+m_1) \times (n_1+m_1)}$  with structure defined in Section II-A such that  $\forall p \in \mathcal{P}$

$$Y_0 > 0 \quad (49a)$$

$$H^\top(0)Y_0H(0) > 0 \quad (49b)$$

$$\begin{bmatrix} * \\ * \end{bmatrix}^\top \begin{bmatrix} \Pi_{Q_y} & | \\ \hline & Y_{0,mat} \end{bmatrix} \begin{bmatrix} Q_{y,11} & Q_{y,12} \\ I & 0 \\ \hline Q_{y,21} & Q_{y,22} \end{bmatrix} < 0, \quad (49c)$$

where  $Y_{0,mat}$  and  $Q_y(p)$  are defined in (48),  $H(p)$  is a rational function, and  $Q_{y,0}$  is the partitioned matrix of the upper LFT form (3) of  $Q_y(p)$ .

Much like for the filter synthesis, the constraint on the parameter dependency is satisfied as a result of the imposed structure on the full block multiplier  $\Pi_{Q_y}$ . Thus, the state-feedback synthesis is computationally solvable at the cost of some conservatism. In order to calculate the optimal controller gain, Corollary 2 is solved as an optimisation problem to minimise the performance metric  $\gamma$  that bounds the induced  $\mathcal{L}_2$ -norm of the weighted closed-loop system. Then the resulting un-scaled controller gain is calculated as  $F(p) = -D_2^{-1}(\rho)(\gamma \bar{B}_{12}^\top(p)Y(p)^{-1} + \bar{C}_2(\rho))$ .

#### IV. APPLICATION EXAMPLE: SPACECRAFT ATTITUDE CONTROL

The derived structured control scheme and synthesis was applied to the attitude control of a small satellite benchmark. The computational effort and performance metrics of the structured synthesis were measured and compared to an output-feedback synthesis to verify that the proposed approach is far more efficient. Additionally, the different multiplier types, described in Section II-A, were compared in terms of conservatism and computational efficiency.

The satellite in question weighs 95 kg, and has a flexible solar array. The solar array is intentionally oversized in order to accentuate the effects of its flexible modes on the spacecraft dynamics. The lowest undamped frequency of its flexible modes is 5.6 rad/s. Additionally, the spacecraft is modelled with a draining fuel tank (no sloshing dynamics) positioned at the centre of mass of the central body. Hence, the mass properties of the spacecraft are time-varying. The spacecraft is in a sun-synchronous, 400 km altitude orbit. At this altitude, the considered disturbances (such as solar radiation pressure torque, aerodynamic torque, gravity gradient torque and magnetic torque) reach magnitudes of  $1 \times 10^{-3}$  Nm (see, e.g., [32]).

The spacecraft  $P$  is modelled with a cuboid, rigid central body  $P_B$  and a flexible solar array  $P_A$  modelled as a cantilever beam attached by a joint at point  $P$  as pictured in Figure 4. The position of the solar array's centre of mass is denoted  $A$ . The following spacecraft model was generated using the

TABLE I  
PARAMETERS AND NOTATION USED IN (50) AND FIGURE 4.

Parameters	Description
$F_{ext}$	Force vector applied to central body
$T_{ext,B}$	Torque vector applied to central body at B
$F_{B/A}$	Force vector applied by B on A
$T_{B/A,P}$	Torque vector applied by B on A at point P
$\ddot{r}$	Linear acceleration
$\ddot{\theta}$	Rotational acceleration
$m$	Mass
$J$	Inertia
$\tau_{AP}$	Kinematic model between points A and P
Notation	Denoting
$\mathcal{B}$	Central body
B	Center of mass of central body
P	Position of joint
$\mathcal{A}$	Solar array
A	Center of mass of solar array

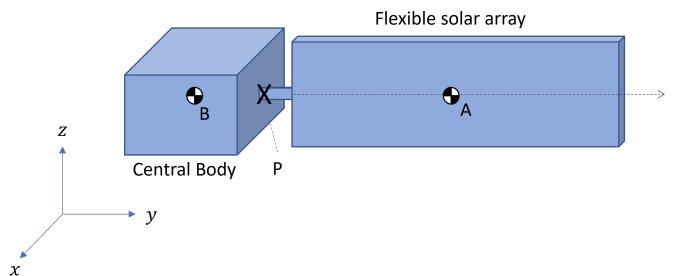


Fig. 4. Visual of spacecraft model

Satellite Dynamics Toolbox [33]; see the documentation for extensive explanation.

$$\begin{aligned} P_B : \begin{bmatrix} F_{ext} \\ T_{ext,B} \end{bmatrix} &= \begin{bmatrix} m_B(m_f)I & 0 \\ 0 & J_B(m_f) \end{bmatrix} \begin{bmatrix} \ddot{r}_B \\ \ddot{\theta} \end{bmatrix} + \\ &\begin{bmatrix} 0 \\ \dot{\theta} \times J_B \dot{\theta} \end{bmatrix} \\ P_A : \begin{bmatrix} F_{B/A} \\ T_{B/A,P} \end{bmatrix} &= \tau_{AP}^\top \begin{bmatrix} m_A I & 0 \\ 0 & J_A \end{bmatrix} \tau_{AP} \begin{bmatrix} \ddot{r}_P \\ \ddot{\theta} + \ddot{\theta}_A \end{bmatrix} + \\ &L_P^\top \ddot{\eta} \\ -L_P \begin{bmatrix} \ddot{r}_P \\ \ddot{\theta} + \ddot{\theta}_A \end{bmatrix} &= \ddot{\eta} + \text{diag}\{2\zeta_i \omega_i\}_{i=1}^3 \dot{\eta} + \text{diag}\{\omega_i^2\}_{i=1}^3 \eta \end{aligned} \quad (50)$$

See Table I for details of the notation. The central body experiences external forces  $F_{ext}$  and torques  $T_{ext}$  about the centre of mass. The dynamic coupling between the two bodies is transmitted via the joint at point  $P$ . The flexible modes of the solar array are described by their modal contributions  $L_P$ . Each second-order mode (denoted by subscript  $i$ ) has damping  $\zeta_i$  and natural frequency  $\omega_i$ .

The central body mass  $m_B$  is a function of the fuel mass  $m_f$ , which is calculated from the commanded torque  $u$  and specific impulse  $I_{sp}$  using the thrust equation. The central body inertia about the centre of mass  $J_B$  also changes with fuel mass. To exaggerate the effects of the changing mass properties, it is assumed that 25% of the spacecraft's total mass is fuel. For this application, only rotational dynamics are considered for attitude control, so the translational motion  $r$  of the central body is disregarded. For the control problem,

TABLE II  
TUNING PARAMETERS FOR THE SYNTHESIS OF ALL CONTROLLERS.  $\text{diag}([a_1, a_2, a_3])$  DENOTES A DIAGONAL MATRIX WITH ELEMENTS  $a_1, a_2, a_3$  ON THE DIAGONAL.

$W_u$	$= \frac{0.667s+0.0115}{s+2.015 \times 10^{-5}} \cdot I_{3 \times 3}$
$W_e$	$= \frac{100s+50}{s+50} \cdot I_{3 \times 3}$
$V_u$	$= \text{diag}([2, 1.5, 2])$
$V_e$	$= 0.0014 \cdot I_{3 \times 3}$
$V_d$	$= 0.01 \cdot \text{diag}([1, 0.2, 1])$

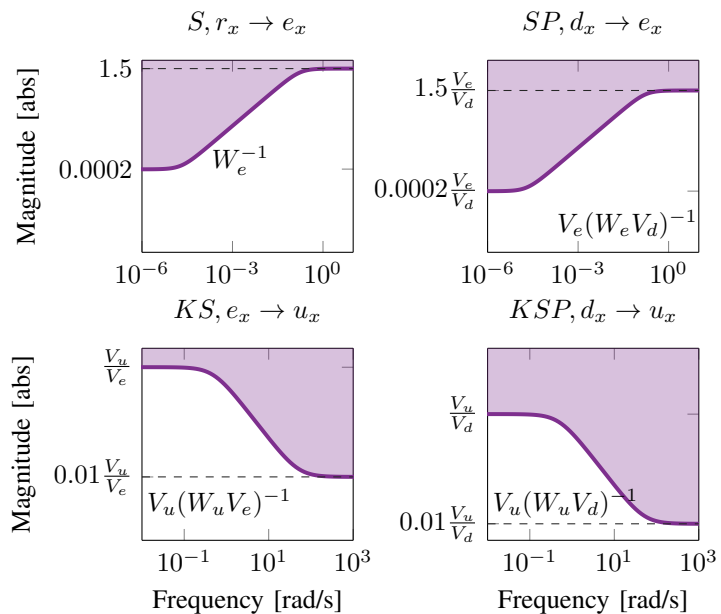


Fig. 5. Visualisation of imposed performance on transfer functions (four blocks) about the  $x$ -Axis. Similar plots exist for transfer functions between all axes (including cross-coupling).

the system output is the attitude  $\theta$  which must track a target attitude relative to an inertial frame. The external disturbances are modelled as a summative input disturbance on the commanded torque  $T_{ext} = d + u$ . Hence, the linear plant takes the general LPV plant structure in (17).

The application case considered in this paper is a slew manoeuvre using thrusters. The controller is synthesised with  $m_f$  as the scheduling parameter  $\rho$ . Consider three thrusters provide torque about each axis of the spacecraft. They are each positioned with a lever arm of 10 cm from the centre of mass of the central body. The maximum force produced by each thruster is assumed to be 20 N with an  $I_{sp}$  of 100 s as this is reasonable for a small satellite [34]. This results in a maximum torque about each axis of 2 Nm and maximum mass loss rate of 0.02 kg/s, which is used as the rate-bound in the synthesis. The guidance profile, which the spacecraft must follow for the slew, is generated as a rectangular acceleration signal, then integrated twice to provide a reference attitude signal.

#### A. Controller Design and Synthesis

All controllers were synthesised using the same design and tuning weights such that meaningful comparisons and conclusions could be drawn. For each multiplier, the structured controller  $K_S$  was synthesised once with a parameter-varying slack variable  $U(\rho)$ , and again with static slack variable  $U$  for additional comparison. In addition, an output-feedback controller  $K_{OF}$  was synthesised for each multiplier in order to compare the synthesis efficiency and controller performance. The output-feedback controllers were also synthesised in LFT formulation by applying the transformation process described in this paper to the output-feedback problem (see the thesis of Wu [7]). All syntheses were 10% sub-optimal to improve numerical behaviour and used an identical weighting scheme

(see (18) and Figure 1). In the presented application, the weighting scheme is LTI.

All tuning design variables are listed in Table II. The command weight  $W_u$  enforces that the controller does not have a high magnitude in the region of the flexible modes for all three axes.  $W_e$  was selected to enforce good tracking by shaping the sensitivity function, therefore the desired crossover frequency was iteratively increased during the tuning process.  $W_e$  also enforces the sensitivity peak to remain below 3.5 dB and the steady-state tracking error with respect to the reference command to be  $< 1.8 \times 10^{-4}$ . The aggressiveness of the actuators is defined by  $V_u/V_e$ . In this design, for example, an error signal  $e_x$  of 0.01 rad, can result in a torque command of up to 1.8 Nm, which aligns with the implementation of a thruster slew. Given that the solar array is aligned to the body-frame  $y$ -axis, less torque is required about this axis to accelerate so less authority was given about this axis. Then,  $V_d$  is approximately 10 times the magnitude of environmental torque disturbances at 400 km altitude. With respect to the disturbance rejection, this choice of  $V_d$  imposes that the disturbance signal cannot be amplified more than  $1.5 \cdot V_e/V_d = 0.21$  in the  $x$  and  $z$  axes (which were given higher torque authority), and 1 in the  $y$ -axis (accounting for 3.5 dB maximum magnitude in  $S$ , see Figure 5 for clarification). This preference for better disturbance rejection about  $x$  and  $z$  also reflects the lower level of torque disturbance that would occur about the  $y$ -axis due to the shape of the satellite. Note that for low frequency, amplification of disturbance is significantly lower due to the shape of  $W_e$ . Similarly,  $V_u/V_d$  describes how aggressively the controller can respond to the disturbance.

The first stage of the controller synthesis for  $K_S$  with each multiplier was to calculate the filter gain  $L(\rho) = (G^T(\rho)Z_0G(\rho))^{-1}C_{sc}^T V_e^{-1}$  in the filter synthesis step, see Section III-B. This was done by applying the optimisation

from Corollary 1 to the rigid model of the spacecraft's response to external disturbances  $P_{sc} = V_e^{-1}P(\rho)V_d$ . The parameter variation is quadratic  $G(p) = [I, pI]^T$ . After the filter gain was calculated, the contractive left-coprime factorisation of the scaled plant  $[M_{sc}, N_{sc}]$  was reconstructed. Using  $M^{-1}$  and the designed weighting scheme, the state-feedback problem was defined (see Section III). The state-feedback synthesis step solved the optimisation in Corollary 2 for the weighted system, constructed as in (34). The resulting controller gain  $F(\rho)$ , filter gain  $L(\rho)$  and system state and input matrices form the structured controller pictured in Figure 3. For each  $K_{OF}$ , there was only one synthesis step and the resulting controller had the same number of states but no discernible structure.

For synthesis, the varying parameter was normalised so that Theorem 2 is applicable with the full block multipliers described in Section II-A.

### B. Results to Confirm Theory

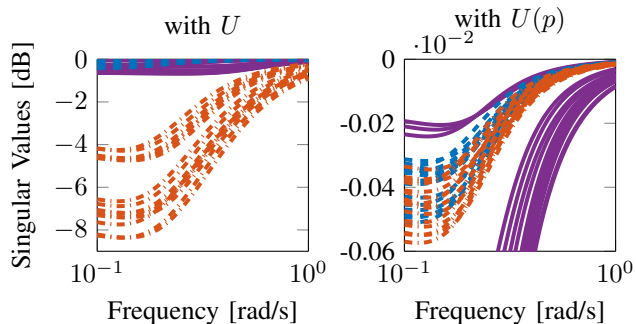


Fig. 6. Singular values of contractive left-coprime factorisation  $[M_{sc}, N_{sc}]$  for synthesis with block-diagonal (---), full-block (---) and parameter-dependent (---) multipliers.

The results for the first stage of the structured synthesis are displayed in Figure 6. Here, the singular values of the left-coprime factorisation are plotted for the respective multipliers, and for static or parameter-dependent slack variables ( $U$  and  $U(p)$ , respectively). For the static slack variable case, there is a clear reduction in conservatism for the full-block and parameter-dependent multipliers when compared to the block-diagonal case. However, the same correlation is not so clear when using  $U(p)$ . It is important to consider the complexity of the optimisation introduced by each multiplier as this is also an influencing factor in the optimisation. For each application of the full block S-procedure (as in Section II-A), the block-diagonal multipliers add additional LMI constraints with dimension  $2n_\Delta + n_{in}$  where  $\Delta$  is the size of the  $\Delta$ -block and  $n_{in}$  the input dimension of the outer factor  $G(p)$ . This scales linearly with the size of the system and complexity of parameter variation or number of parameters. On the other hand, the additional LMI constraints when using the full-block multiplier has dimension  $2n_\Delta + n_{in} + 2^{n_\delta}n_\Delta$  as a result of evaluating (10) at the vertices of  $\Delta$ . This already exhibits poorer scaling with more scheduling parameters or increasing complexity of parameter-dependency. Furthermore, with the parameter-dependent multiplier, the larger LMI in

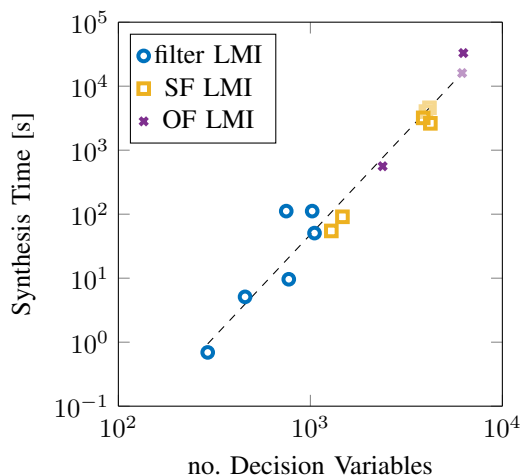


Fig. 7. Correlation between size of SDP and synthesis time. Paler coloured points on the correlation line correspond to failed syntheses who's no. of decision variables is known but synthesis time is not computed.

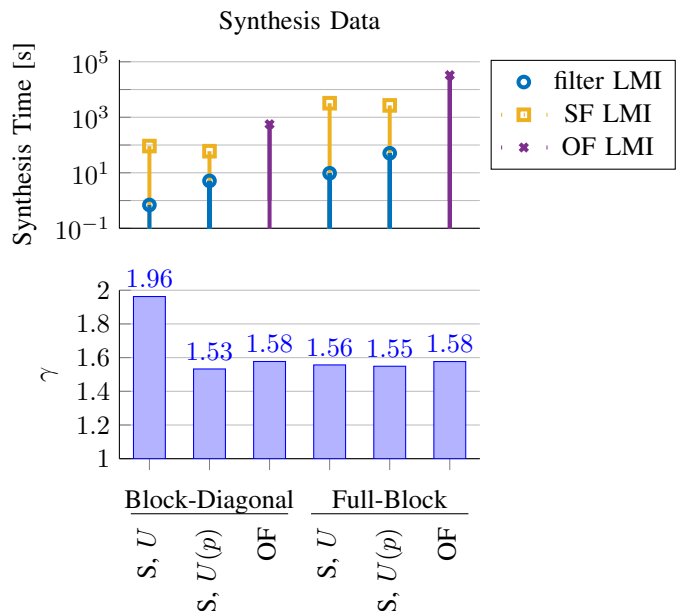


Fig. 8. Stacked, logarithmic plot of computation time (above) for the filter and state-feedback (SF) LMIs, and corresponding performance value (below) for each multiplier type.

the full block S-procedure (9) is evaluated at the vertices of  $\Delta$ , so the resulting additional LMI dimensions for one application of the procedure is  $2^{n_\delta}(n_\Delta + n_{in})$ . For simple problems, this may lead to a smaller optimisation than the full-block multiplier in terms of size, but the complexity of the additional LMIs is higher. Also, with more scheduling parameters it scales even worse. In this application, when the full block S-procedure is applied to, for example, 37b,  $n_\delta = 2$  ( $p$  and  $q$ ) and  $n_{in} = 9$ . With a static slack variable  $n_\Delta = 6$  and the additional LMI dimensions are 21, 45 and 60 for block-diagonal, full-block and parameter-dependent multipliers, respectively. Comparatively, with a parameter-dependent slack variable  $n_\Delta = 12$  and the dimensions grow to 33, 81 and 72. As a result, the optimisations for full-

block and parameter-dependent multipliers with  $U(p)$  were unable to fully converge to an optimal solution and instead terminated the algorithm when the objective was decreased no more than 1% in the last 10 iterations. The objective values were therefore slightly higher, at  $3.94 \times 10^{-6}$  and  $1.88 \times 10^{-6}$  respectively, compared to the block-diagonal case  $1.73 \times 10^{-6}$ .

As a result of the dimension and complexity of the additional LMIs in the parameter-dependent multiplier cases, the optimisation was unable to find a solution to the state-feedback problems. Therefore, only 6 controllers have been synthesised. Three for each remaining multiplier corresponding to structured controllers with static and parameter-dependent slack variables ( $K_S$ ,  $U$  and  $K_S$ ,  $U(p)$ ) and an output-feedback controller ( $K_{OF}$ ).

The synthesis performance and efficiency are summarised in Figure 8. The controllers' performance is measured by the  $\gamma$ -value of the optimisation. A lower value corresponds to a controller that is better at meeting the requirements imposed by the weighting scheme across the parameter domain. In terms of computational effort, the syntheses for  $K_S$  in each case takes considerably less time to compute than the  $K_{OF}$  syntheses. With the block-diagonal multiplier,  $K_S$  syntheses approximately 6 times faster than  $K_{OF}$ , this improvement increasingly scales as the complexity of the problem grows. With the full-block multiplier  $K_S$  is synthesised more than 10 times faster than the corresponding  $K_{OF}$  which took approximately 9 hours to compute. Figure 7 confirms that the synthesis time correlates with the number of decision variables in the SDP. So, as control design problems get more complex, it is increasingly important to find ways to reduce the size of the SDPs. All optimisations in the presented work used the same methods and solver (Lmilab) with the same solver settings so that the comparison is as meaningful as possible. The relative accuracy required of the solution to the objective function in the optimisation is 0.01. This applies to the output-feedback problem, state-feedback problem and the coprime factorisation. Of course, with different solvers, computational time and accuracy will change so it is perhaps more valuable to look at the size of the SDPs (see Figure 7) and LMI dimensions as an indication of how complex (and therefore slow) computation of the optimal solution will be.

It is also demonstrated by the results that a varying slack variable  $U(p)$  can lead to a better coprime factorisation (see Figure 6) with less divergence from  $I$ , although this may not always be the case. As a result, this can reduce conservatism in the solution, which is evident in the performance values  $\gamma$  displayed in Figure 8, especially for the block-diagonal case. Generally, a larger  $\gamma$ -value corresponds to more conservatism, which in turn correlates with poorer coprime factorisation in the filter synthesis step. It is further demonstrated that the low level of conservatism introduced by  $[M, N]$ , results in the overall performance of the structured design being very close to the performance of the output-feedback design. An indication of how the controllers each perform with respect to the imposed requirements is visually represented in Figure 9 by Bode diagrams of the transfer functions that are shaped during the syntheses. Only two of the four-block transfer functions that were the main drivers in the design are plotted

TABLE III  
ROBUSTNESS PERFORMANCE FOR ALL CONTROLLERS.

Multiplier	Controller	Worst loop-at-a-time margin across $\rho$		
		Gain [dB]	Phase [°]	Delay [s]
block-diagonal	$K_S, U$	12.58	43.04	1.36
	$K_S, U(p)$	12.28	43.35	1.96
	$K_{OF}$	7.43	35.67	0.77
full-block	$K_S, U$	12.31	43.63	1.94
	$K_S, U(p)$	12.31	43.55	1.97
	$K_{OF}$	7.46	35.67	0.77

for brevity. Additionally, the  $K_S$  controllers with parameter varying slack variable  $U(\rho)$  have been omitted from the plots for clarity, as they were very similar to their corresponding static slack variable controllers. These plots show an array of each parameter-dependent closed-loop transfer function, where the array domain for each is linearly distributed across  $-1 \leq \rho \leq 1$ . Figure 9 further confirms that both synthesis methods lead to very similar controllers and demonstrates that the structured approach, performing the optimisation with the two-block problem, still shapes all four blocks in the original design. Note that the magnitude of  $K_S$  peaks below the imposed magnitude of the design, so the actuator response is less aggressive in the final simulations than anticipated in the design.

The  $K_S$  loops demonstrate slightly lower crossover frequency in the sensitivity function, and marginally increased magnitude in  $SP$  compared to the  $K_{OF}$  loops. Ultimately, this plot demonstrates that all the controllers exhibit very similar loop-shapes. This is unsurprising, given the similar  $\gamma$ -values. This further demonstrates that it is advantageous to use the structured synthesis method to save on computational time without sacrificing performance. Moreover, the full-block multiplier does not show significant improvement over the block-diagonal multiplier. So, whereas it does objectively reduce the conservatism, in many practical applications, the simplest and most efficient multiplier choice is sufficient. The worst-case loop-at-a-time margins are also provided in table III to further confirm the similarity of the controllers. It is also seen that the OF controllers sacrifice some robustness margins in order to push the performance further. These conclusions are further reiterated in the time-domain simulations in Figure 10.

In the simulations,  $K_{OF}$  shows a slight improvement compared to  $K_S$  with a reduced attitude error  $|e|$ . This results from the higher crossover frequency in the sensitivity function which enables improved tracking. Additionally,  $K_{OF}$  with the full-block multiplier performs slightly better, correlating with the reduced conservatism. All structured controllers  $K_S$  perform almost identically, irrespective of the choice of multiplier. Hence, they have been plotted as a single line for clarity. The largest variation in error  $|e|$  between all structured controllers is 0.01 rad which occurs at approximately 34 s where the largest overshoot is in each slew. The time-domain simulations further establish the consistency in performance across the domain of the scheduling parameter. The performance is the same when completing a slew at the beginning of life (BoL), where fuel mass is initialised at the maximum value, and

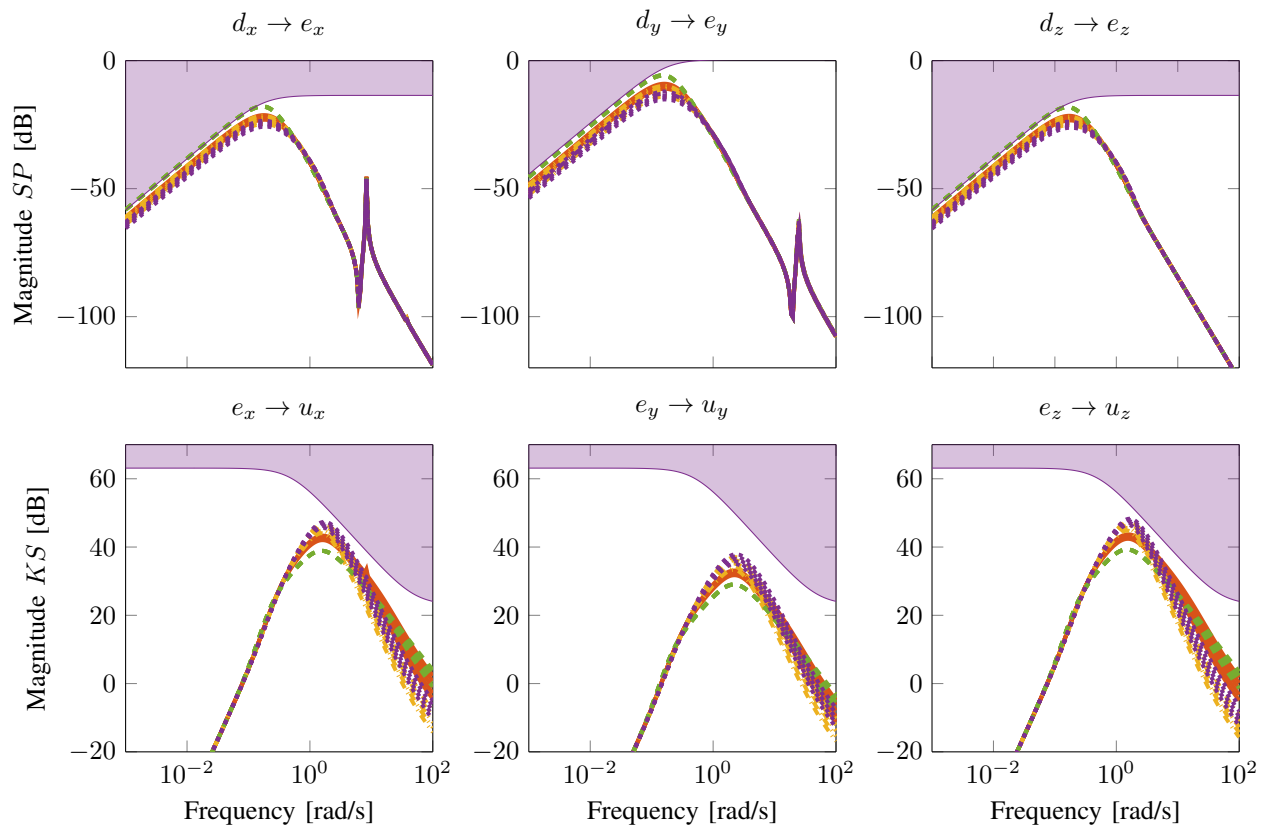


Fig. 9. Resulting loop-shapes of  $K_S$  controller with  $U$ , block-diagonal and full-block multipliers (—, - - -),  $K_{OF}$  controller with block-diagonal and full-block multiplier (---, ···) with respect to design constraints.

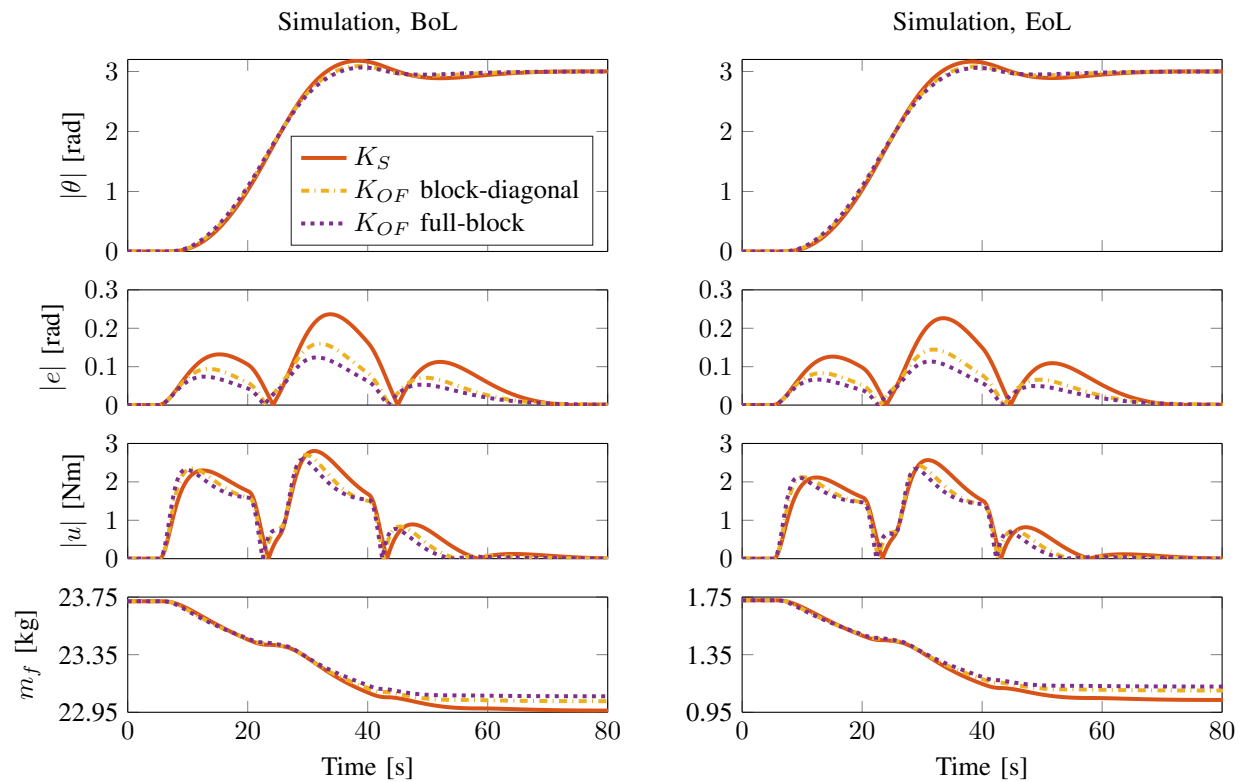


Fig. 10. Tracking performance for controllers at the beginning of life (BoL) and end of life (EoL). All structured controllers  $K_S$  are represented with one line.

end of life (EoL), where the fuel mass is almost empty. As expected, the controllers in the EoL slew scenario command smaller values  $|u|$  and burn slightly less fuel as the overall mass of the spacecraft is less, so requires less torque from the thrusters.

Note that the results presented in this section correspond to a synthesis computed on a standard desktop PC with a modern i7, 8-core processor at 3.80 GHz and 32 GB of RAM.

## V. CONCLUSION

A structured controller synthesis in LFT formulation was derived and presented as a novel approach to the control of LPV systems. In general, LFT formulation is preferable to grid-based LPV methods when it comes to scheduled controllers as it offers a closed solution without approximation steps in the derivation. Although, the LFT formulation does require that the parameter variation can be reasonably approximated by rational polynomials. The presented method also results in a highly structured controller which is beneficial for implementation.

The presented design process used a recently proposed weighting scheme to impose physically quantifiable and unambiguous requirements on the controller. The same weighting scheme was also applied to an output-feedback controller synthesis such that a reasonable baseline comparison could be drawn. The chosen use-case was a small spacecraft performing slew manoeuvres with fuel mass as a scheduling parameter. The presented two-step synthesis was considerably less computationally expensive than the output-feedback synthesis while not compromising on performance. It was also demonstrated that the computational benefit was further increased when scaling up to more complicated design problem with less conservative multipliers. However, it has been shown that the simplest multiplier choice may be sufficient in terms of achieved performance.

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